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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

NOV 0 1 2007

In re Applications/Patents of: OXYCELL HOLDING B.V.

Serial Nos. 10/575/761; 10/533,383; 10/512,483; 10/774,459; 10/512,482; 10/512,449; 10/543,125; 10/546,836; 10/808,342; 11/632,301; 11/658,174.

Atty. Dkt. No.: 05589.0032.000000

RECORDATION OF CHANGE OF NAME AND RECORDATION OF SECURITY AGREEMENTS

Certificate of Transmission under 37 CFR 1.8

Commissioner for Patents PO Box 1450 Alexandria VA 22313-1450 I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office at telephone number 793-395-7697 on

Date: No Signature:

(Cheis CLERCE)

Sir:

Please record the attached documents.

Note:

First Security Agreement

By virtue of a first security agreement dated 13 July 2006 rights over the patent have been granted by the Applicant/Patentee to EMMAPLEIN DRACHTEN II B.V.

As evidence of this, a copy of a first security agreement (A1) in Dutch is submitted along with its signed translation into English.

Change of Applicant Name

Please note that on 30 August 2007 the Applicant/Patentee's name and address for the above-mentioned applications/patents changed from OXYCELL HOLDING B.V. to OXYCOM BEHEER B.V. An extract from the Netherlands trade registry is enclosed along with a signed translation into English showing the change of name and address for company No. 05068913.

Second Security Agreement

By virtue of a second security agreement dated 16 October 2007 rights over the patent have been granted by the Applicant/Patentee to HEEMAK B.V.

As evidence this, a copy of the relevant parts of the second security agreement (A2) is submitted.

Respectfully submitted,

Bavid P. Owen Reg. No. 43,344 Date: 1 Nov. 200

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Form PTO-1595 (modified) RECORDATION FORM COVER SHEET U.S. DEPARTMENT OF COMMERCE (Rev. 03/01) PATENTS ONLY U.S. Prizers and Tredestark Office				
To the Honorable Commissioner of Patents and Trudemarks. Please a	record the attached original documents or copy thereof.			
1. Name of conveying party(ies):	2. Name and address of receiving party(ics):			
OXYCELL HOLDING B.V.; NL Company No. 05068913	Name: EMMAPLEIN DRACHTEN II B.V.			
Additional name(s) of conveying party(ies) attached? yes no	Street Address: Emmaplein 6, City: Groningen			
	State: Zip Code: 9711AP Country: NL			
3. Nature of Conveyance: ☐ Assignment ☐ Merger ☐ Security Agreement ☐ Change of Name ☐ Other:	Additional name(s) & address(es) attached?			
Execution Date(s): 13 July 2006				
4. Application number(s) or patent number(s): If this document is filed together with a new application, the execution date of the application is A. Patent Application No(s). B. Patent No(s). 6,904,962 10/533,383 7,238,105 7,238,105 7,181,918 10/512,482 10/543,125 10/546,836 11/632,301 11/658,174 Additional numbers attached? yes no 5. Name & address of party to whom correspondence concerning document should be mailed: David P. Owen HOWREY LLP Customer No. 43,344 Enclosed				
	Authorized to be charged to Deposit Account Deposit Account Number: 08-3038			
DO NOT USE THIS SPACE				
9. Statement and signature				
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. David P. Owen Name of Person Signing Signature Date Registration No. 43,344 Total number of pages including cover sheet, attachments and document Mail documents to be recorded with required cover sheet information to:				
Commissioner of Patents and Trademarks, Box Assignments Washington, D.C., 20231				

NR. 3025 P. 4

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A1 9

OVEREENKOMST VAN VERPANDING m.b.t. de Lening

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De ondergetekenden:

1. OXYCOM HOLDING B.V.

gevestigd te Maarsbergen,
ingeschreven in het handelsregister onder nummer 30179602
nader: Vennootschap,
vertegenwoordigd door haar statutair directeur, de heer

2. OXYCOM FRESH AIR B.V.

gevestigd te Apeldoorn
ingeschreven in het Handelsregister onder nummer 08127255
nader: OFA,
vertegenwoordigd door haar statutair directeur, de heer

3. OXYCOM MOBILE B.V.

gevestigd te Raalte ingeschreven in het handelsregister onder nummer 05067939 nader: <u>OM</u>

4. OXYCELL HOLDING B.V.

gevestigd te Raalte ingeschreven in het handelsregister onder nummer 05068913 nader: OH

5. OXYCOM HOLDING SARL

gevestigd te (Luxemburg)
ingeschreven in het handelsregister onder nummer
nader: OH-SARL

partijen 1 tot en met 5 ieder afzonderlijk respectievelijk gezamenlijk ook aan te duiden als : Pandgever respectievelijk Pandgevers

6. EMMAPLEIN DRACHTEN II .B.V.

gevestigd te Groningen, ingeschreven in het handelsregister onder nummer 02072696 nader: <u>Investeerder</u>, vertegenwoordigd door haar statutair directeur, de heer T.W. Maan.

OVERWEGENDE:

Op 8/9 juli 2006 is een overeenkomst, partijen genoegzaam bekend, gesloten tussen onder meer de partijen betreffende onder andere het verstrekken van een lening en mogelijk een aanvullende lening door Investeerder aan de Vennootschap, nader: <u>de Overeenkomst</u>

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Ter uitvoering van het bepaalde in artikel 2 lid 1 sub f van de Overeenkomst zijn partijen het navolgende overeengekomen.

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ZLJN OVEREENGEKOMEN ALS VOLGT:

Artikel 1 - Verpanding

- De Pandgevers verklaren hierbij ten behoeve van de Investeerder tot meerdere zekerheid voor de voldoening van al hetgeen de Pandgevers aan de Investeerder nu of te eniger tijd mochten blijken verschuldigd te zijn uit hoofde van de Lening als bedoeld in artikel 2 van de Overeenkomst neergelegd, hierna te noemen: de Lening, in pand te geven, welke inpandgeving de Investeerder hierbij verklaart aan te nemen:
 - a. de gedeponeerde merken en octrooien die in eigendom toebehoren of zullen toebehoren aan Pandgevers, nader: de Merken en Octrooien;
 - b. de inventaris die in eigendom toebehoort of zal toebehoren aan Pandgevers, nader: de Inventaris;
 - c. de voorraden die in eigendom toebehoren of zullen toebehoren aan Pandgevers, nader: de Voorraden;
 - d. de bestaande en toekomstige vorderingen van de Pandgevers, nader: <u>de Vorderingen</u>,

de Merken en Octrooien, de Inventaris, de Voorraden en de Vorderingen gezamenlijk ook te noemen: de Verpande Goederen.

- De verpanding van de Verpande Goederen geschiedt in tweede verband namelijk na het eerste pandrecht van de ABN AMRO Bank N.V. (nader: de Bank) dat geldt tot een maximumbedrag van € 1.250.000,... te vermeerderen met 40% voor rente en kosten.
- ...3.....De vestiging van het pandrecht geschiedt door het ondertekenen van deze overeenkomst en voorts onder de bepalingen van de volgende artikelen.

Artikel 2 - Garanties en verplichtingen Pandgevers - algemeen

- 1. De Pandgevers verklaren hierbij dat de Verpande Goederen hen toebehoren, dat zij tot het verpanden ervan bevoegd zijn en dat de Verpande Goederen niet met een ander pandrecht hoger gerangschikt dan het pandrecht van de Bank bezwaard zijn of met een recht van vruchtgebruik of ander beperkt recht bezwaard zijn.
 De Pandgevers verklaren hierbij tevens dat op de Verpande Goederen geen beslag is gelegd.
- 2. Tot daden van beschikking alsmede tot het bezwaren en het verlenen van (beperkte) rechten (waaronder mede verstaan moet worden het verstrekken van licentierechten) op de Verpande Goederen zijn de Pandgevers zonder voorafgaande schriftelijke toestemming van de Investeerder niet bevoegd.
- 3. De Pandgevers zijn gehouden ten aanzien van de Verpande Goederen de nodige zorg te (doen) betrachten en deze naar behoren te onderhouden en in stand te houden, mede gelet op het belang van de Investeerder. De Investeerder kan desgewenst ook zelf overgaan of doen

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overgaan tot het nemen van die maatregelen en ter zake gemaakte kosten aan de Pandgevers in rekening brengen.

De Pandgever zal ten aanzien van de Verpande Goederen generlei handeling mogen verrichten waardoor de belangen van de Investeerder zouden kunnen worden geschaad.

4. De Pandgevers verbinden zich de Investeerder steeds en terstond in kennis te stellen van al hetgeen voor de Investeerder van belang kan zijn met betrekking tot de Verpande Goederen, respectievelijk de persoon van de Pandgever(s), zoals onder meer een vordering tot doorhaling, overdracht, opeising of nietigverklaring, een faillissement, een surséance van betaling, een beslag, een ontbinding, een nietigverklaring, een bewind, of een onder curatelestelling.

De Pandgevers zijn in ieder geval in de hier opgesomde gevallen tevens verplicht degene die doorhaling, overdracht, opeising of nietigverklaring van de Verpande Goederen vordert, de faillissementscurator, de bewindvoerder, de beslagleggende deurwaarder of de curator terstond mededeling te doen van het bestaan van het pandrecht van de Investeerder, onverminderd het recht van de Investeerder deze mededeling te doen.

- 5. Indien de Pandgevers in verzuim zijn jegens de Investeerder, is de Investeerder bevoegd de Verpande Goederen door de hoogst gerangschikte pandhouder te doen verkopen en naar rang te delen in de opbrengst onverminderd de bevoegdheid van de Investeerder de Verpande Goederen zelve te verkopen met handhaving van de hoger gerangschikte pandrechten.
- 6. De Investeerder is gehouden tenminste 8 dagen tevoren aan de Vennootschap of aan degene die op een of meer van de Verpande Goederen een pandrecht of recht van vruchtgebruik heeft gevestigd of daarop beslag heeft gelegd, mededeling te doen van de wijze waarop, de plaats waar en de termijn waarbinnen de voorgenomen verkoop zal plaatsvinden. Evenzo is de Investeerder gehouden mededeling te doen van de verkoop zelve.
- 7. Na voldoening van de kosten van executie zal de Investeerder de opbrengst van de Verpande Goederen verrekenen met hetgeen de Investeerder van de Pandgevers te vorderen heeft uit hoofde van de Lening. Indien een andere pandhouder dan de Investeerder tot executie van een of meer van de Verpande Goederen overgaat, zal de Investeerder het naar de rang van de Investeerder uitgekeerde gedeelte van de opbrengst daarvan verrekenen met hetgeen de Investeerder van de Pandgevers te vorderen heeft.
- 8. De kosten van het opmaken van deze overeenkomst komen ten laste van de Vennootschap.

Artikel 3 – Nadere bepalingen ten aanzien van de verpanding van de Merken en Octrooien

 De Merken en Octrooien omvatten alle merken en octrooien (waaronder mede begrepen octrooiaanvragen) die Pandgevers hebben en/of zullen verkrijgen.

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- De reeds bestaande Merken en Octrooien blijken uit de als bijlage 1 aangehechte lijst.
- Pandgevers verplichten zich de Investeerder steeds onverwijld te informeren omtrent het aanvragen, deponeren, verkrijgen van nieuwe Merken of Octrooien.

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- De Investeerder is gerechtigd de verpanding van de Merken en Octrooien te doen inschrijven in de daarvoor bestemde registers.
- Pandhouder is bevoegd zelfstandig een vordering als bedoeld in artikel 70 lid 5
 Rijksoctrooiwet in te stellen.

Artikel 4 - Nadere bepalingen ten aanzien van de verpanding van de Vorderingen

- De Vorderingen omvatten alle vorderingen die Pandgevers hebben en/of zullen verkrijgen op derden.
 - De reeds bestaande Vorderingen blijken uit de als bijlage 2 aangehechte debiteurenlijsten.
- 2. Het pandrecht op toekomstige Vorderingen wordt gevestigd door registratie van door of namens Pandgevers getekende pandlijsten. Pandgevers zijn verplicht nieuwe Vorderingen onmiddellijk na hun ontstaan op pandlijsten te vermelden en deze pandlijsten met de daaraan gehechte bescheiden te ondertekenen en tenminste eenmaal per twee weken te zenden c.q. te overhandigen aan de Investeerder.
- 3. Investeerder is gerechtigd om zonder ingebrekestelling of rechterlijke tussenkomst van de verpanding mededeling te doen aan de debiteuren van de Vorderingen, alsmede in en buiten rechte nakoming te eisen van de Vorderingen, betaling daarvan in ontvangst te nemen en daarvoor te kwiteren, de vorderingen door opzegging opeisbaar te stellen, met de betreffende debiteuren zowel minnelijke als gerechtelijke akkoorden aan te gaan en alle overige aan de Vorderingen verbonden rechten uit te oefenen.

Arfikel 5 – Nadere bepalingen ten aanzien van de verpanding van Inventaris en Voorraden

- De Inventaris en Voorraden omvatten alle inventaris en voorraden die in eigendom toebehoren of zullen toebehoren aan Pandgevers.
- 2. De Pandgevers zijn verplicht de Inventaris en Voorraden te verzekeren en verzekerd te houden tegen risico's van brand en diefstal. De Investeerder is gerechtigd te allen tijde op de polis de verpanding aan te tekenen en, in geval van schade, met de assuradeur alle regelingen te treffen als ware zij verzekeringneemster.
- 3. De Pandgevers zijn gerechtigd de Voorraden te verkopen in de normale uitoefening van hun bedrijven.
 - 4. De Investeerder is gerechtigd om, zodra Pandgevers in de nakoming van hun verplichtingen jegens de Investeerder tekortschieten of haar goede redenen geeft te vrezen dat in die verplichtingen zal worden tekortgeschoten, de Inventaris en Voorraden onder zich te nemen, te verkopen en zich uit de opbrengst te voldoen, conform haar rang. Het eventuele overschot zal onverwijld worden uitgekeerd aan de Pandgevers.

Artikel 6 - Registratie

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1. Deze overeenkomst is bestemd om te worden geregistreerd in de zin van de artikelen 3:237 en 3:239 BW. De Investeerder is bevoegd ook verdere akten en lijsten betreffende de pandverhouding te (doen) registreren en/of verdere handelingen te verrichten ter uitvoering van deze pandverhouding. Pandgevers geven de Investeerder hierbij onherroepelijk volmacht tot het verrichten van alle handelingen, wenselijk of nodig, om het hier bedoelde effect te bereiken.

Artikel 7 - Geschillen - bevoegde rechter

- Op deze overeenkomst is nitsluitend Nederlands recht van toepassing
- Op alle geschillen die naar aanleiding van deze overeenkomst en/of nadere overeenkomsten ontstaan, is bij uitsluitend van een andere rechter in het Arrondissement Groningen bevoegd, onverminderd het recht van partijen op hoger beroep en/of cassatie.

ALDUS OVEREENGEKOMEN EN IN ENKELVOUD GETEKEND TE 2000 1. 13/7/06 com/Holding B.V. copa Fresh Air B.V. Oxycom Mobile B.V. Oxycell Holding B.V. Oxycom Holding SARL Emmaplein Drachten II B.V Oxycom pandakte Tekst d.(L 11-7-6

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BIJLAGE

<u>OYERZICHT HANDELSNAMEN EN GEDEPONEERDE MERKEN</u>

Handelsnamen:

Oxycom

Oxycom Holding

Oxycom Mobile

Oxycom Fresh Air

Oxycell

Oxycell Holding

Merken:

Merk	Rechthebbende	Inschrijvingsor	Depotdatum	Bnl/Eur
OXICELL	Oxycell Holding	0729003	03-01-2003	BNL.
OXICOM	Oxycell Holding	0729004	08-01-2003	BNL
OXICELL	Oxycell Holding	003235058	30-06-2003	EUR
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Translation of document entitled "Overeenkomst van verpanding"

I, Maria Heeger, employed with the lawyers' firm Plas & Bossinade advocaten & notarissen at Groningen, Paterswoldseweg 802 (postal code: 9728 BM),

hereby certify that I am conversant with the languages of English and Dutch and that I have prepared the attached translation which is a true and correct translation into the English language of the Pledge Agreement between Oxyccel Holding B.V. dated 13 July 2006, to the best of my knowledge and belief.

(signature

Groningen, 29 Oktober 2007

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PLEDGE AGREEMENT with respect to the Loan

The undersigned:

1. OXYCOM HOLDING BY

a closed corporation held under Dutch law located in Maarsbergen, registered with the trade register under number 30179602 hereinafter: Corporation

represented by its director under the articles of incorporation, mr

2. OXYCOM FRESH AIR B.V.

a closed corporation held under Dutch law located in Apeldoorn, registered with the trade register under number 08127255 hereinafter: OFA, represented by its director under the articles of incorporation, mr.......

3. OXYCOM MOBILE B.V.

a closed corporation held under Dutch law located in Raalte, registered with the trade register under number 05067939 hereinafter: OM

4. OXYCELL HOLDING B.V.

a closed corporation held under Dutch law, located in Raalte, registered with the trade register under number 05068913 hereinafter: **QH**

5. OXYCOM HOLDING SARL

located in (Luxemburg)
registered in the trade register under number
hereinafter: OH-SARL

the parties 1 up to 5 are also referred to respectively separately and jointly as <u>Pledgor</u> and <u>Pledgors</u>.

6. EMMAPLEIN DRACHTEN II B.V.

a closed corporation under Dutch law located in Groningen, registered with the trade register under number 02072696 hereinafter: <u>Investor</u> represented by its director under the articles of incorporation, mr T.W. Maan



WHEREAS

On 8/9 July 2006 an agreement, sufficiently known to the parties, was entered into inter alia by the parties with respect to matters which include the granting of a loan and possibly an additional loan by Investor to the Corporation, hereinafter: the Agreement

For the implementation of the provisions of article 2 paragraph 1 under f of the Agreement the parties have agreed upon the following:

HAVE AGREED AS FOLLOWS

Article 1 - Pledge

- For the benefit of the Investor as an additional security for the settlement of all that the Pledgors may owe to the Investor now or at any future moment in time on account of the Loan as laid down in article 2 of the Agreement, hereinafter to be referred to as: the Loan, the Pledgors hereby give in pledge, which pledge the Investor herewith accepts:
 - a. the registered trademarks and patents that are or will be owned by Pledgors, hereinafter; the Trademarks and Patents;
 - b. the equipment that is or will be owned by Pledgors, hereinafter: the Equipment;
 - c. the stock that is or will be owned by Pledgors, hereinafter: the Stock;
 - d. the existing and future receivables of Pledgors, hereinafter: the Receivables. the Trademarks and Patents, the Equipment, the Stock and the Receivables, jointly also to be referred to as: the Pledged Goods.
- The Pledged Goods are pledged as a second ranking pledge, i.e. they are preceded by the first right of pledge of ABN AMRO Bank N.V. (hereinafter: the Bank) that applies to a maximum amount of € 1.250.000,- plus 40% for interest and costs.
- 3. The right of pledge is created by the signing of this agreement and furthermore within the scope of the provisions set out in the following articles.

Article 2 - Guarantees and obligations of Pledgors - in general

- 1. The Pledgors herewith declare that they own the Pledged Goods, that they are entitled to the pledging thereof and that the Pledged Goods are not encumbered with another right of pledge that ranks higher than the right of pledge of the Bank nor with a right of usufruct or any other restricted right [beperkt recht, as defined under Dutch law].

 The Pledgors herewith also declare that the Pledged Goods are not subject to any attachment orders.
- Pledgors are not empowered to perform acts of disposition and neither are they empowered
 to encumber rights or restricted rights with or to grant rights or restricted rights on (which also
 covers the granting of licensing rights) the Pledged Goods without prior written permission
 from the Investor.
- 3. The Pledgors are obliged to always exercise due care or see to it that due care is exercised with respect to the Pledged Goods, also in view of the interest of the Investor. The Investor may also, should such be desired, take relevant measures itself or have those measures taken and charge the costs thereof to the Pledgors.



The Pledgor is not allowed to perform any acts which may be detrimental to the interests of the Investor.

4. The Pledgors undertake to inform the Investor promptly of all that may be of interest to the Investor with regard to the Pledged Goods and the person of the Pledgor or Pledgors respectively, which include an action for annulment, transfer, revendication or declaration of voidness, a bankruptcy, a moratorium, an attachment, a termination, administration or placing under guardianship.

In all events as listed above the Pledgors are in any case obliged to give immediate notice of the existence of the Investor's right of pledge to any person who lodges a claim for cancellation, transfer, revendication or declaration of voidness of the Pledged Goods, the trustee in bankruptcy, the administrator, the bailiff who has carried out the attachment order or the guardian, without prejudice to the right of the Investor to give this information.

- 5. If the Pledgors are in default towards the Investor, the Investor is empowered to have the Pledged Goods sold by the highest ranking pledgee and to share in the proceeds in accordance with the rank without prejudice to the power of the Investor to sell the Pledged Goods itself while maintaining the higher ranking rights of pledge.
- 6. The Investor is obliged to inform the Corporation or the one who has established a right of pledge or a usufruct in or who has made an attachment upon one or more of the Pledged Goods at least 8 days beforehand of the manner in which, the place where and the time limit within which the intended sale will take place. Likewise the Investor is obliged to give notice of the sale itself.
- 7. After having paid the execution costs the Investor will set off the proceeds of the Pledged Goods against the claims the Investor has on the Pledgers pursuant to the Loan. If a pledgee other than the Investor decides to execute one or more of the Pledged Goods, the Investor will set off the part of the proceeds thereof that has been paid in accordance with the rank of the Investor against the claim the Investor has on the Pledgors.
- 8. The costs involved in drawing up this agreement will be borne by the Corporation.
- Article 3 Further stipulations regarding the pledging of the Trademarks and Patents

 1. The Trademarks and Patents extend to all trademarks and patents (including the patent applications) Pledgors have and/or will obtain.
- 2. The existing Trademarks and Patents are shown on the list attached as exhibit 1.
- 3. Pledgors undertake to inform the Investor always without delay of the applying for, filing with, obtaining of new Trademarks or Patents.
- 4. The Investor is entitled to have the pledging of the Trademarks and Patents listed in the appropriate registers.
- 5. Pledgor is empowered to submit a claim as referred to in Section 70 subsection 5 Dutch Patents Act 1995 [Rijksoctrootwet].

Article 4 - Further stipulations regarding the pledging of the Receivables

1. The Receivables extend to all claims Pledgors have and/or will have at any future moment in time on third parties.

The already existing Receivables are shown on the list of receivables attached as exhibit 2.

- 2. The right of pledge on future Receivables is created by way of registering the pledge lists signed by or on behalf of Pledgors. Pledgors are obliged to specify new Receivables in pledge lists immediately after they have come into existence and to sign these pledge lists and the attached documents and to send or hand them to the Investor at least once every two weeks.
- 3. Investor is entitled to notify those persons who owe the Receivables of the pledge without notice of default or judicial intervention, and may also in and out of court demand fulfilment of the Receivables, receive payment thereof and give discharge for payments made, make the Receivables due and payable by means of cancellation, settle with the debtors judicially or extrajudicially and exercise all other rights connected with the Receivables.

Article 5 - Further stipulations regarding the pledging of the Equipment and Stock

- 1. The Equipment and Stock extend to all equipment and stock that are owned or will be owned by Pledgors.
- 2. The Pledgors are obliged to take out a fire and theft insurance and to continue this insurance with respect to the Equipment and Stock. The Investor is at all times entitled to note the pledge on the policy and, if damage occurs, to make arrangements with the insurer in the capacity of policyholder itself.
- 3. The Pledgors are entitled to sell the Stock in the normal course of their business.
- 4. The moment the Pledgors fail to fulfil their obligations towards the Investor or give good reason to the Investor to fear that they may fail to fulfil those obligations, the Investor is entitled to take possession of the Equipment and Stock, to sell it and to pay itself from the proceeds, in accordance with its rank. The possible surplus will be paid to the Pledgors without delay.

Article 6 - Registration
This agreement is destined to be registered within the meaning of Sections 237 and 239 of Book 3 of the Dutch Civil Code. The Investor is empowered to register further deeds and lists with regard to the pledge relationship as well, or to have them registered, and/or to perform further activities for the implementation of this pledge relationship. Pledgors herewith grant to the Investor irrevocable power of attorney to carry out all that is desirable or necessary to achieve the intended effect.

Article 7 - Disputes - competent court

- 1. This agreement is exclusively governed by Dutch law.
- 2. The court in Groningen has exclusive jurisdiction to settle any disputes that may arise in connection with this agreement and/or further agreements, without prejudice to the rights of the parties to appeal and/or bring an appeal in cassation.

NR. 3025 P. 18

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AGREED UPON AND DRAWN UP I	IN A	SINGLE COPY AT	f Warnsveld on 12 July
2006.			. Trainstent on 12 outy

i.	Oxycom Holding B.V.
2.	Oxycom Fresh Air B.V.
3.	Oxycom Mobile B.V.
1.	Oxycel Holding B.V.
5.	Oxycom Holding SARL
5.	Emmaplein Drachten II B.V.

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NR. 3025 P. 19

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Form PTO-1595 (modified) RECORDATION FORM COVER SHEET U.S. DEPARTMENT OF COMMERC				
	TS ONLY U.S. Patent and Trademark Office			
OMB No. 0651-0027 (exp. 5/31/2002) To the Hypothela Commissioner of Patents and Trades				
To the Honorable Commissioner of Patents and Trademarks. Please	record the attached original documents or copy thereof.			
1. Name of conveying party(ies):	2. Name and address of receiving party(ies):			
OXYCELL HOLDING B.V.; NL Company No. 5068913	Name: OXYCOM BEHEER B.V.			
Additional name(s) of conveying party(ies) attached?	Street Address: Burgemeester Falkenaweg 58-101, City: Heerenveen			
3. Nature of Conveyance:	State: Zip Code: 8442 LE Country: NL			
Assignment Merger Security Agreement Change of Name Other:	Additional name(s) & address(es) attached? yes no			
Execution Date(s): 30 August 2007				
4. Application number(s) or patent number(s): If this document is filed together with a new application, A. Patent Application No(s).	the execution date of the application is B. Patent No(s).			
10/575,761 10/533,383	6,904,962			
10/512,483 10/512,482 10/543,125 10/546,836 11/632,301 11/658,174	7,238,105 7,181,918			
Additional numbers attach	ned7 🗌 yes 🛛 no			
 Name & address of party to whom correspondence concerning document should be mailed: 	6. Total number of applications and patents involved			
David P. Owen	7. Total fee (37 C.F.R. § 3.41)\$ 440			
HOWREY LLP Customer No. 43,344	☐ Enclosed ☐ Authorized to be charged to Deposit Account			
	8. Deposit Account Number: 08-3038			
DO NOT USE THIS SPACE				
9. Statement and signature				
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.				
David P. Owen Name of Person Signing Signature	1 Nov. 2007			
Registration No. 43,344 Total number of pages including cover sheet, attachments and document Mail documents to be recorded with required cover sheet information to: Commissioner of Patents and Trademarks, Box Assignments Washington, D.C. 20231				

1. NOV. 2007 15:27

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Translation of document entitled "Handelregisterhistorie"

Iname], of Howney L. [address], hereby certify that I am conversant with the languages of English and Dutch and that I have prepared the attached translation which is a true and correct translation into the English language of the document entitled "Handelregisterhistorie" and dated 19 Oct. 2007, to the best of my knowledge and belief.

.[signature],

Translation of Document Entitled: "Handelregisterhistorie"

Trade Register History

End date

01 05068913 Oxycom Beheer B.V. Tel No. 0513 433020 Burgemeester Falkenaweg 58 -101 8442LE Heerenveen

OLD STATUTORY NAMES AS DEPOSITED SINCE 01-10-1993

Statutory name Entry date End date	Forest-Air B.V. 04-10-2001 20-02-2003	
Statutory name Entry date End date	OXYCELL HOLDING B.V. 20-02-2003 30-08-2007	

OLD TRADE NAMES AS DEPOSITED SINCE 01-10-1993

Statutory name Entry date End date	Forest-Air B.V. 01-08-2001 20-02-2003	
Statutory name	OXYCELL HOLDING B.V. 20-02-2003	

OLD BRANCH ADDRESSES AS DEPOSITED SINCE 01-10-1993

30-08-2007

Address Entry date	Kaagstraat 17 19, 8102GZ Raalte ***unknown***	
Address Entry date	Kaagstraat 13, 8102GZ Raalte 01-07-2006	

Address Entry date Kaagstraat 13, 8102GZ Raalte

24-04-2007

OLD LEGAL FORMS AS DEPOSITED SINCE 01-10-1993

Legal form

Legal entity in the process of formation

Entry date

01-08-2001

OLD COMPANY DESCRIPTIONS AS DEPOSITED SINCE 01-10-1993

Entry date

01-08-2001

Company description:

Preserving and exploitation of intellectual properties, the

execution and/or sub-contracting of research &

development.

Entry date

04-10-2001

Company description:

Preserving and exploitation of intellectual properties, the

execution and/or sub-contracting of research & development in the field of cooling systems

of patents, trademark rights, permits, know how and other

industrial property.

OFFICER INFORMATION STEPPED OUT OFFICER(S) COMPANY Authorized officer(s):

Name:

Reinders, Theodorus Mattheus / 1

Date and place of birth:

24-08-1969, Raalte

Address:

Korenbloemstraat 93, 8013XN Zwolle

Entry date:

01-08-2001

End date:

04-10-2001

Name:

Reinders, Johannes Antonius Maria / 2

Date and place of birth:

12-07-1952, Raalte

Address:

Oude Warkenseweg 1, 7231PE Warnsveld

Entry date:

01-08-2001

End date:

04-10-2001

OFFICER INFORMATION STEPPED OUT OFFICER(S) LEGAL FORMS Board member(s):

Name:

Reinders, Theodorus Mattheus / 4

Date and place of birth:

24-08-1969, Raalte

Address:

Korenbloemstraat 93, 8013XN Zwolle

Entry date:

04-10-2001

Function:

Director

Authorization:

Sole and independent authority

End date:

01-03-2004

Name:

Reinders, Johannes Antonius Maria / 5

Date and place of birth:

12-07-1952, Raalte

Address:

Oude Warkenseweg 1, 7231PE Warnsveld

Entry date:

04-10-2001

Function:

Director

Authorization:

Sole and independent authority

End date:

13-07-2006

OTHER OFFICER INFORMATION STEPPED OUT

No history for this section

Kamer van koophandel: handelsregister - historie

Page 1 of 2

Handelsregisterhistorie

01 05068913 Oxycom Beheer B.V. teinr: 0513 433020 Burgemeester Falkenaweg 58 -101 8442LE Heerenveen

OUDE STATUTAIRE NAMEN ZOALS VASTGELEGD SINDS 01-10-1993

Statutaire naam Forest-Air B.V.

Datum ingang 04-10-2001

Datum einde 20-02-2003

Statutaire naam OXYCELL HOLDING B.V.

Datum ingang 20-02-2003 Datum einde 30-08-2007

OUDE HANDELSNAMEN ZOALS VASTGELEGD SINDS 01-10-1993

Handelsnaam Forest-Air B.V.

Datum ingang 01-08-2001

Datum einde 20-02-2003

Handelsnaam OXYCELL HOLDING B.V.

 Datum Ingang
 20-02-2003

 Datum einde
 30-08-2007

OUDE VESTIGINGSADRESSEN ZOALS VASTGELEGD SINDS 01-10-1993

Adres Kaagstraat 17 19, 8102GZ Raalte

Datum ingang ****Onbekend****

Adres Kaagstraat 13, 8102GZ Raalte

Datum ingang 01-07-2006

Adres Kaagstraat 13, 8102GZ Raalte

Datum ingang 24-04-2007

OUDE RECHTSVORMEN ZOALS VASTGELEGD SINDS 01-10-1993

Rechtsvorm Rechtspersoon in oprichting

Datum ingang 01-08-2001

OUDE BEDRIJFSOMSCHRIJVINGEN ZOALS VASTGELEGD SINDS 01-10-1993

Datum ingang

01-08-2001

Bedrijfsomschrijving

Het houden en exploiteren van intellectuele eigendommen, het verrichten en/of uitbesteden

van research & development.

Datum Ingang

04-10-2001

Bedrijfsomschrijving

Het houden en exploiteren van intellectuele eigendommen, het verrichten en/of uitbesteden van research & development op het gebied van

koelsystemen

van patenten, merkrechten, vergunningen, know how en andere industriële eigendomsrechten.

FUNCTIONARISGEGEVENS UITGÉTREDEN FUNCTIONARIS(SEN) ONDERNEMING

Bevoegde functionaris(sen):

Naam Reinders, Theodorus Mattheus / 1

Geboortedatum en -plaats 24-08-1969, Raalte

Adres Korenbloemstraat 93, 8013XN Zwolle

Infunctietreding 01-08-2001 Uit functie 04-10-2001 Karner van koophandel: handelsregister - historie

Page 2 of 2

Naam Reinders, Johannes Antonius Marla / 2

Geboortedatum en -plaats 12-07-1952, Raalte

Oude Warkenseweg 1, 7231PE Warnsveld

Infunctietreding 01-08-2001 Uit functie 04-10-2001

FUNCTIONARISGEGEVENS UITGETREDEN FUNCTIONARIS(SEN) RECHTSPERS.

Bestuurder(s):

Reinders, Theodorus Mattheus / 4

Geboortedatum en -plaats 24-08-1969, Raalte

Korenbloemstraat 93, 8013XN Zwolle

Infunctietreding 04-10-2001 Titel Directeur

Bevoegdheid Alleen/zelfstandig bevoegd

Uit functie 01-03-2004

Reinders, Johannes Antonius Maria / 5 Geboortedatum en -plaats 12-07-1952, Realte

Adres Oude Warkenseweg 1, 7231PE Warnsveld

Infunctietreding 04-10-2001 Titel Directeur

Alleen/zelfstandig bevoegd Bevoegdheid

Uit functie 13-07-2006

OVERIGE FUNCTIONARISGEGEVENS UITGETREDEN

*** Geen historie voor dit onderdeel***

TERUS

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For PTO 1505 (m. 1/5.1) DECORDATION FO	The Cover of the C			
	ORM COVER SHEET U.S. DEPARTMENT OF COMMERCE			
(Rev. 03/01) PATENTS ONLY U.S. Petent and Tradomark Offic OMB No. 0651-0027 (exp. 5/31/2002)				
To the Honorable Commissioner of Patents and Trademarks. Please	properly the extended existent 2			
Name of conveying party(ies): OXYCOM BEHPER B.V. (previously Oxycell	2. Name and address of receiving party(ies):			
Holding B.V.); NL Company No. 5068913	Name: HEEMAK B.V.			
Additional name(s) of conveying party(ies) attached?	Street Address: Rijksstraatweg 239,			
□ yes 🗵 по	City: Haren			
3. Nature of Conveyance:	State: Groningen Zip Code: 9752 CB Country: NL			
☐ Assignment ☐ Merger ☐ Security Agreement ☐ Change of Name ☐ Other:	Additional name(s) & address(es) attached? ☐ yes ☐ no			
Circle:				
Execution Date(s): 16 October 2007				
4. Application number(s) or patent number(s):				
If this document is filed together with a new application,	the execution date of the application is			
A. Patent Application No(s).	B. Patent No(s).			
10/575,761	6,904,962			
10/533,383	7,238,105			
10/512,483	7,181,918			
10/512,482				
10/543,125 10/546,836				
11/632,301				
11/658,174				
Additional numbers attach	ned? 🔲 yes 🖾 no			
5. Name & address of party to whom correspondence	6. Total number of applications and patents involved			
concerning document should be mailed:	elevon			
David P. Owen HOWREY LLP	7. Total fee (37 C.F.R. § 3.41)\$ 440			
Customer No. 43,344	☐ Enclosed			
	Authorized to be charged to Deposit Account			
<u> </u>	8. Deposit Account Number: 08-3038			
DO NOT USE THIS SPACE				
9. Statement and signature				
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a				
true copy of the original document.				
David P. Owen Nov. 2007				
Name of Person Signing Signature Date				
Registration No. 43,344 Total number of pages including cover sheet, attachments and document Mail documents to be recorded with required cover sheet information to:				
Commissioner of Patents and Trademarks, Box Assignments				
Washington, D.C. 20231				

NR. 3025

PLEDGE AGREEMENT with respect to the Additional Loan

CENTRAL FAX CENTER NOV 0 1 2007

RECEIVED

The undersigned:

1. OXYCOM HOLDING BV

a closed corporation held under Dutch law

located in Maarsbergen,

registered with the trade register under number 30179602

hereinafter: Corporation

represented by its director under the articles of incorporation, mr E. van der Ende

2. OXYCOM FRESH AIR B.V.

a closed corporation held under Dutch law

located in Apeldoom,

registered with the trade register under number 08127255

hereinafter: OFA,

represented by its director under the articles of incorporation, mr. E. van der Ende

3. OXYCOM MOBILE B.V.

a closed corporation held under Dutch law

located in Raalte,

registered with the trade register under number 05067939

hereinafter: OM

represented by its director under the articles of incorporation, mr. E. van der Ende

4. OXYCOM BEHEER B.V.

a closed corporation held under Dutch law,

located in Raalte,

registered with the trade register under number 05068913

hereinafter: OB

represented by its director under the articles of incorporation, mr. E. van der Ende

5. OXYCOM HOLDING SARL

located in Luxemburg

registered in the trade register under number

hereinafter: OH-SARL

represented by mr. E. van der Ende

the parties 1 up to 5 are also referred to respectively separately and jointly as Pledgor and Pledgors.

6. HEEMAK B.V.

a closed corporation under Dutch law

located in Groningen,

registered with the trade register under number 02072696

hereinafter: Investor

Oxycom deed of pledge Text dated 12 October 2007

PAGE 27/39 * RCVD AT 11/1/2007 10:21:48 AM [Eastern Daylight Time] * SVR:USPTO-EFXRF-6/6 * DNIS:2738300 * CSID:+31 20 4637296 * DURATION (mm-ss):06-38

represented by its director under the articles of incorporation, Beheersmaatschappij Heerema B.V., in its term represented by mr. P.H. Heerema

WHEREAS

On 8/9 July 2006 an agreement, sufficiently known to the parties, was entered into inter alia by the parties with respect to matters which include the granting of a loan and possibly an additional loan by Investor to the Corporation, hereinafter: the Agreement

For the implementation of the provisions of article 2 paragraph 1 under f of the Agreement the parties have entered into a pledge agreement with respect to the Loan dated 12 July 2006.

Pursuant to and under the conditions set forth in the provisions of article 14 of the Agreement Investor has granted and will grant—in several tranches—an additional loan.

For the implementation of the provisions of article 14 paragraph 1 under f of the Agreement, the parties have agreed upon the following:

HAVE AGREED AS FOLLOWS

Article 1 - Pledge

- 1. For the benefit of the Investor as an additional security for the settlement of all that the Pledgors may owe to the Investor now or at any future moment in time on account of the additional loan as laid down in article 14 of the Agreement, hereinafter to be referred to as: the Additional Loan, the Pledgors hereby give in pledge, which pledge the Investor herewith accepts:
 - a. the registered trademarks and patents that are or will be owned by Pledgors, hereinafter: the Trademarks and Patents;
 - b. the equipment that is or will be owned by Pledgors, hereinafter: the Equipment;
 - c. the stock that is or will be owned by Pledgors, hereinafter; the Stock;
 - d. the existing and future receivables of Pledgors, hereinafter: the Receivables. the Trademarks and Patents, the Equipment, the Stock and the Receivables, jointly also to be referred to as: the Pledged Goods.
- 2. The Piedged Goods are pledged as a second ranking pledge, i.e. they are preceded by the first right of pledge of ABN AMRO Bank N.V. (hereinafter: the Bank) that applies to a maximum amount of the bank of the
- 3. The right of pledge for the Additional Loan is limited to a maximum amount of
- 4. The right of pledge is created by the signing of this agreement and furthermore within the scope of the provisions set out in the following articles.

Article 2 - Guarantees and obligations of Pledgors - in general

1. The Pledgors herewith declare that they own the Pledged Goods, that they are entitled to the pledging thereof and that the Pledged Goods are not encumbered with another right of pledge that ranks higher than the right of pledge of the Bank and the right of pledge regarding the Loan nor with a right of usufruct or any other restricted right [beperkt recht, as defined under Dutch law].

The Pledgors herewith also declare that the Pledged Goods are not subject to any attachment orders.

- 2. Pledgors are not empowered to perform acts of disposition and neither are they empowered to encumber rights or restricted rights with or to grant rights or restricted rights on (which also covers the granting of licensing rights) the Pledged Goods without prior written permission from the Investor.
- 3. The Pledgors are obliged to always exercise due care or see to it that due care is exercised with respect to the Pledged Goods, also in view of the interest of the Investor. The Investor may also, should such be desired, take relevant measures itself or have those measures taken and charge the costs thereof to the Pledgors.

The Pledgor is not allowed to perform any acts which may be detrimental to the interests of the Investor.

4. The Pledgors undertake to inform the Investor promptly of all that may be of interest to the Investor with regard to the Pledged Goods and the person of the Pledgor or Pledgors respectively, which include an action for annulment, transfer, revendication or declaration of voidness, a bankruptcy, a moratorium, an attachment, a termination, administration or placing under guardianship.

In all events as listed above the Pledgors are in any case obliged to give immediate notice of the existence of the Investor's right of pledge to any person who lodges a claim for cancellation, transfer, revendication or declaration of voidness of the Pledged Goods, the trustee in bankruptcy, the administrator, the bailiff who has carried out the attachment order or the guardian, without prejudice to the right of the Investor to give this information.

- 5. If the Pledgors are in default towards the Investor, the Investor is empowered to have the Pledged Goods sold by the highest ranking pledgee and to share in the proceeds in accordance with the rank without prejudice to the power of the Investor to sell the Pledged Goods itself while maintaining the higher ranking rights of pledge.
- 6. The Investor is obliged to inform the Corporation or the one who has established a right of pledge or a usufruct in or who has made an attachment upon one or more of the Pledged Goods at least 8 days beforehand of the manner in which, the place where and the time limit within which the intended sale will take place. Likewise the Investor is obliged to give notice of the sale itself.
- 7. After having paid the execution costs the Investor will set off the proceeds of the Pledged Goods against the claims the Investor has on the Pledgors pursuant to the Loan. If a pledgee other than the Investor decides to execute one or more of the Pledged Goods, the Investor will set off the part of the proceeds thereof that has been paid in accordance with the rank of the Investor against the claim the Investor has on the Pledgors.

- 8. The costs involved in drawing up this agreement will be borne by the Corporation.
- Article 3 Further stipulations regarding the pledging of the Trademarks and Patents

 1. The Trademarks and Patents extend to all trademarks and patents (including the patent applications) Pledgors have and/or will obtain.
- 2. The existing Trademarks and Patents are shown on the list attached as exhibit 1.
- 5. Pledgors undertake to inform the Investor always without delay of the applying for, filing with, obtaining of new Trademarks or Patents.
- 6. The Investor is entitled to have the pledging of the Trademarks and Patents listed in the appropriate registers.
- 7. Pledgor is empowered to submit a claim as referred to in Section 70 subsection 5 Dutch Patents Act 1995 [Rijksoctrooiwet].

Article 4 - Further stipulations regarding the pledging of the Receivables

1. The Receivables extend to all claims Pledgors have and/or will have at any future moment in time on third parties.

The already existing Receivables are shown on the list of receivables attached as exhibit 2.

- 2. The right of pledge on future Receivables is created by way of registering the pledge lists signed by or on behalf of Pledgors. Pledgors are obliged to specify new Receivables in pledge lists immediately after they have come into existence and to sign these pledge lists and the attached documents and to send or hand them to the Investor at least once every two weeks.
- 3. Investor is entitled to notify those persons who owe the Receivables of the pledge without notice of default or judicial intervention, and may also in and out of court demand fulfilment of the Receivables, receive payment thereof and give discharge for payments made, make the Receivables due and payable by means of cancellation, settle with the debtors judicially or extrajudicially and exercise all other rights connected with the Receivables.

Article 5 - Further stipulations regarding the pledging of the Equipment and Stock

- 1. The Equipment and Stock extend to all equipment and stock that are owned or will be owned by Pledgors.
- 2. The Pledgors are obliged to take out a fire and theft insurance and to continue this insurance with respect to the Equipment and Stock. The Investor is at all times entitled to note the pledge on the policy and, if damage occurs, to make arrangements with the insurer in the capacity of policyholder itself.
- 3. The Pledgors are entitled to sell the Stock in the normal course of their business.
- 4. The moment the Pledgors fail to fulfil their obligations towards the Investor or give good reason to the Investor to fear that they may fail to fulfil those obligations, the Investor is entitled to take possession of the Equipment and Stock, to sell it and to pay itself from the

proceeds, in accordance with its rank. The possible surplus will be paid to the Pledgors without delay.

Article 6 - Registration

This agreement is destined to be registered within the meaning of Sections 237 and 239 of Book 3 of the Dutch Civil Code. The Investor is empowered to register further deeds and lists with regard to the pledge relationship as well, or to have them registered, and/or to perform further activities for the implementation of this pledge relationship. Pledgors herewith grant to the Investor irrevocable power of attorney to carry out all that is desirable or necessary to achieve the intended effect.

Article 7 - Disputes - competent court

- 1. This agreement is exclusively governed by Dutch law.
- 2. The court in Groningen has exclusive jurisdiction to settle any disputes that may arise in connection with this agreement and/or further agreements, without prejudice to the rights of the parties to appeal and/or bring an appeal in cassation.

AGREED UPON AND DRAWN UP IN TWO COPIES ON 12 OCTOBER 2007.

Oxycom Holding B.V.

Oxycom Fresh Air B.V.

Oxycom Mobile B.V.

Oxycom Beheer B.V.

Oxygon Holding SARL

matterno.	аррі.по	country	status .	appl. date
ი5589.0003				
05589.0003.00GB00	324348,2	United Kingdom	Inactive	17-10-2003
05589.0003.00PC00	PCT/EP2004/011833	Patent Cooperation Treaty	Inactive	18-10-2004
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05589.0003.PCBR0D	PI0415486-0	Brazil	Filed	18-10-2004
05589.0003.PCCA00	N/A	Canada	Inactive	18-10-2004
05589.0003.PCCN00	2004/80033887	China P.R.	Filed	
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05589.0003.PCIL00	174995 ·	European Patent Convention Israel	Filed	<u>18-10-2004</u>
05589.0003.PCIN00	1714/CHENP/2008	india	Filed	18-10-2004
05589.0003.PCJP00	2006-534723	Japan	Filed Filed	18-10-2004
05589.0003.PCKR00	2006-7009590	Korea South	Filed	18-10-2004
05589.0003.PCMX00	PA/a/2006/004228	Mexico	Filed	18-10-2004
05589.0003.PCUS00	10/575,761	United States	Filed	18-10-2004 18-10-2004
05589.0003.PCZA00	2006/03935	South Africa	Filed	18-10-2004
05589.0004	_,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	COUNTY UNDER	Heo	16-10-2004
05589.0004.00NL00	1021794	Netherlands	Granted	31-10-2002
05589.0004.00NL01	1022794	Netherlands	Granted	27-2-2003
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05589.0004.00TW00	92130419	Taiwan	Filed	31-10-2003
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05589,0004,PCEP00	3778297,6	European Patent Convention	Filed	<u>31-10-2003</u>
05589.0004.PCEP01	3759085,8	European Patent Convention	Filed	31-10-2003
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05589.0004.PCKR00	10-2005-7007631	Korea South	Filed	31-10-2003
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05589.0004.PCPL00	P-375205	Poland	Filed	31-10-2003
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06589.0007.EPDE00	3710539,2	Germany	Granted	27-2-2003

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05589.0007.EPES00		Spain	Granted	27-2-2003
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05589,0010			MIGGERE	10-7-2007
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05589.0011.00NL00	1018735	Netherlands	Granted	10-8-2001
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05589,0011.00TW00	91118077	Taiwan	Granted	12-8-2002
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05589.0011.EPLI00	2807128	Liechtenstein	Granted	9-B-2002
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05589.0012				V-U-2002
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	Pl0309567-3	Brazil	Filed	26-10-2004
05589.0012.PCCA00	2496548	Canada	Filed	27-2-2003
				21-2-20U3

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EP1523642
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  1425083 26-10-2005 ENTHALPIETAUSCHER
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  1022798 10-8-2004 HEAT EXCHANGER
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